



06-18-04

JCS  
TPW

June 17, 2004

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Chang, Tenny et al.  
Assignee: Cardica, Inc.  
Title: System for Preparing a Vessel for Anastomosis  
Serial No.: 10/607,524  
Examiner: Not yet assigned  
Docket No.: 133

Filed: June 23, 2003  
Group Art Unit: 3721

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449; and
- (4) Return postcard.

- No additional fee is required.
- Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108

Total: \$ 0.00

**EXPRESS MAIL LABEL NO.**  
**EV430390606US**

Respectfully submitted,



Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chang, Tenny et al.

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,



Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Dr.  
Redwood City 94063

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT  
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

133

SERIAL NO.

10/607,524

APPLICANT:

Chang, Tenny et al.

FILING DATE:

6/23/2003

GROUP:

3721

JUN 17 2004

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,209,773	9/7/1999	BOLDUC			
	AB	5,976,159	2/8/1996	BOLDUC			
	AC	5,662,258	7/11/1996	KNODEL			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
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	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES • NO
	AY						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

AZ

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant